

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

APPLICANT'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES

2395904040

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.
PCT/US99/29559INTERNATIONAL FILING DATE
13 December 1999PRIORITY DATE CLAIMED
14 December 1998

TITLE OF INVENTION

MINIATURIZED OPTO-ELECTRONIC MAGNIFYING SYSTEM

APPLICANT(S) FOR DO/EO/US

Reffner, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Unsigned Declaration and Power of Attorney

U.S. APPLICATION NO. (IF KNOWN, SEE <u>2</u>)		INTERNATIONAL APPLICATION NO. PCT/US99/29559		ATTORNEY'S DOCKET NUMBER 23959/04040	
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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00
- ☒ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	TOTAL	
Total claims	42 - 20 =	22	x \$18.00	\$396.00	
Independent claims	7 - 3 =	4	x \$84.00	\$336.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS				=	\$1,572.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$786.00
SUBTOTAL				=	\$786.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$0.00
TOTAL NATIONAL FEE				=	\$786.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input type="checkbox"/>	\$0.00
TOTAL FEES ENCLOSED				=	\$786.00
				Amount to be: refunded	\$
				charged	\$

CALCULATIONS PTO USE ONLY

a. ☒ A check in the amount of \$786.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

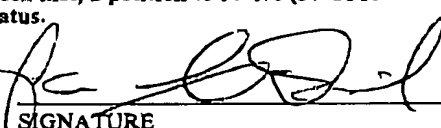
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-0172. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Calfee, Halter & Griswold LLP
1400 McDonald Investment Center
800 Superior Avenue
Cleveland, Ohio 44114


SIGNATURE

James A. Rich
NAME

25,519
REGISTRATION NUMBER

4/13/01
DATE



CALFEE, HALTER & GRISWOLD LLP

February 12, 2002

TO: Charles Smoot
U.S. Patent & Trademark Office
FAX NUMBER: 703-308-6459
SEND ONLY TO THE ABOVE FAX NUMBER:

CONFIRMATION
PHONE NUMBER: 703-305-0045

FROM: James A. Rich
DIRECT DIAL PHONE NUMBER: 216-622-8636

RECEIVED
12 FEB 2002
Legal Staff
International Division

CLIENT NO.: 23959/04040

CLIENT NAME: Sensir Technologies LLC

NUMBER OF PAGES (including this page): 22

MESSAGE: Dear Mr. Smoot:

Pursuant to our conversation, I am transmitting herewith a copy of our November 13, 2001 Petition for Revival of An Unintentionally Abandoned Application. If you would like to discuss any issues relating to this application, please call me at 216-622-8636.

Thank you for your assistance.

This facsimile transmission contains confidential and/or legally privileged information from the law firm of Calfee, Halter & Griswold LLP intended only for the use of the individual(s) named on the transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this facsimile transmission is strictly prohibited. If you have received this transmission in error, please notify us by telephone immediately so that we can arrange for the return of the documents to us at no cost to you.

If there is a problem with this transmission, please contact the Fax Department at: 216/622-8426, 216/622-8428, or 216/622-8522. Facsimiles can be received 24 hours per day, 7 days each week at 216/241-0816. Thank you.

Fax Operator's Initials:

Sent By:
Time: 3:00Logged By: _____
Time: _____

1400 McDonald Investment Center • 800 Superior Avenue • Cleveland, Ohio 44114-2688 • 216/622-8200
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Columbus Fax Number • 614/621-0010

FEB. 12. 2002. 5:01PM

CALFEE HALTER & GRISWOLD LLP

NO. 6546 P. 2

Deposited with the United States Postal Service, with sufficient postage, via Express Mail No. EL085170688US, addressed to Assistant Commissioner for Patents, Box PCT, Washington, DC 20231, Attn: RO/US, this 13th day of November, 2001.

In re Application of Reffner (Sensir Technologies LLC)

For: MINIATURIZED OPTO-ELECTRONIC MAGNIFYING SYSTEM

Attorney Docket No. 23959/04040

Please acknowledge receipt of the following:

- Petition for Revival of an International Application (2 pgs)
 - Fee Calculation Sheet (1 pg) Declaration and Power of Attorney (3 pgs)
 - Check in the amount of \$ 736 - Check in amount of \$ 20.00
 - Return receipt post card
- JAR/jf

CALFEE, HALTER & GRISWOLD LLP

CHECK NO.: 43867

13 And

INVOICE DATE	INVOICE NO.	DESCRIPTION	AMOUNT
11-13-01	111301	23959.04040	\$610.00

PLEASE DETACH STATEMENT BEFORE DEPOSITING CHECK

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INVOICE DATE	INVOICE NO.	DESCRIPTION	AMOUNT
11-13-01	111301	23959.04040	\$786.00

PLEASE DETACH STATEMENT BEFORE DEPOSITING CHECK

INVOICE DATE	INVOICE	DESCRIPTION	AMOUNT
11-13-01	111301	23959.04040	\$786.00

PLEASE DETACH STATEMENT BEFORE DEPOSITING CHECK

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11-13-01

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Cleveland, OH 44114-2688

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\$786.00

PAY: SEVEN HUNDRED EIGHTY-SIX AND 00/100 Dollar

TO THE ORDER OF ASST COMMISSIONER FOR PATENTS

NOT VALID FOR OVER \$1,000.00

Cathy Henderson

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CALFEE, HALTER & GRISWOLD LLP			CHECK NO.: 43867
13 find			
INVOICE DATE	INVOICE NO.	DESCRIPTION	AMOUNT
11-13-01	111301	23959.04040	\$610.00

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DATE
11-13-01

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043867



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1400 McDonald Investment Center
800 Superior Avenue
Cleveland, OH 44114-2688

NATIONAL CITY BANK
CLEVELAND, OHIO
6-12/410
BRANCH 154

CHECK AMOUNT
\$610.00

PAY: SIX HUNDRED TEN AND 00/100 Dollar

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Cathy Henderson

⑈043867⑈ ⑆04⑆000⑆24⑆ 4295184⑈